Consciousness and the collapse of the wave function

Kelvin J. McQueen (with David Chalmers) School of Physics and Astronomy, Tel Aviv University

(1) What is the place of consciousness in nature?

(2) What is the physical reality described by quantum mechanics?

Structure of talk

- The problem of quantum reality
- Potential solution: m-property theory
- Consciousness as the m-property
- Implications for philosophy of mind

Textbook quantum mechanics

The Schrödinger equation

Describes a *deterministic* law.

The collapse postulate

Describes an *indeterministic* law.



- Originally stated in:
 - Neumann, John von. 1955. Mathematical Foundations of Quantum Mechanics. Princeton University Press. (German original: 1932.)

When does each law apply?

- The Schrödinger equation
 - Describes a *deterministic* law.
 - Applies to <u>unmeasured</u> systems.
- The collapse postulate
 - Describes an *indeterministic* law.
 - Applies to <u>measured</u> systems.



- Originally stated in:
 - Neumann, John von. 1955. Mathematical Foundations of Quantum Mechanics. Princeton University Press. (German original: 1932.)

The measurement problem

- Measurement is not a good candidate fundamental physical process.
- The notion of "measurement" is not well defined.



Quantum mechanics in practice

Schrödinger evolution of particle p:

 $|\mathbf{X}\rangle_{p} \rightarrow \alpha |\mathbf{H}\rangle_{p} + \beta |\mathbf{T}\rangle_{p}$



Quantum mechanics in practice

• Schrödinger evolution of particle *p*: $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



Schrödinger evolution of particle *p* and device *d*:
 (α|H>_p + β|T>_p)|"Ready">_d



Schrödinger evolution of particle *p*: $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



Schrödinger evolution of particle *p* and device *d*:
 (α|H>_p + β|T>_p)|"Ready">_d → α|H>_p|"H">_d + β|T>_p|"T">_d



• Schrödinger evolution of particle *p*: $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



- Schrödinger evolution of particle *p* and device *d*:
 (α|H>_p + β|T>_p)|"Ready">_d → α|H>_p|"H">_d + β|T>_p|"T">_d
- Indeterministic collapse: $\alpha |H>_p| "H">_d + \beta |T>_p| "T">_d \rightarrow |H>_p| "H">_d (or |T>_p| "T">_d)$



Schrödinger evolution of particle p:
 |X>_p → α|H>_p + β|T>_p



- Schrödinger evolution of particle *p* and device *d*:
 (α|H>_p + β|T>_p)|"Ready">_d → α|H>_p|"H">_d + β|T>_p|"T">_d
- Indeterministic collapse: $\alpha |H>_p| "H">_d + \beta |T>_p| "T">_d \rightarrow |H>_p| "H">_d (or |T>_p| "T">_d)$
- Probability of p being detected...

Here = $|\alpha|^2$ There = $|\beta|^2$



(i), (ii), & (iii) are mutually inconsistent:

- (i) The wave-function of a system specifies all of its physical properties.
 - $(\alpha |H_{p} + \beta |T_{p})| (Ready)^{*}_{d}$

(i), (ii), & (iii) are mutually inconsistent:

- (i) The wave-function of a system specifies all of its physical properties.
 - $(\alpha |H_{p} + \beta |T_{p})| (Ready)^{*}_{d}$
- (ii) The wave-function always evolves via Schrödinger equation.
 - $\alpha |H_{p}|$ "Here" $_{d} + \beta |T_{p}|$ "There" $_{d}$

(i), (ii), & (iii) are mutually inconsistent:

- (i) The wave-function of a system specifies all of its physical properties.
 - $(\alpha |H_{p} + \beta |T_{p})| \text{``Ready''}_{d}$
- (ii) The wave-function always evolves via Schrödinger equation.
 - $\alpha |H_{p}|$ "Here" $_{d} + \beta |T_{p}|$ "There" $_{d}$
- (iii) Measurements always have single definite outcomes.
 - ▶ |H>_p |"Here">_d

Solutions

(iii) Measurements always have single definite outcomes.

- Denied by:
 - The many worlds interpretation.

Solutions

- (i) The wave-function of a system specifies all of its physical properties.
 - Denied by:
 - Bohmian mechanics, Qbism, TSVF, etc.

- (iii) Measurements always have single definite outcomes.
 Denied by:
 - > The many worlds interpretation.

Solutions

- (i) The wave-function of a system specifies all of its physical properties.
 - Denied by:
 - Bohmian mechanics, Qbism, TSVF, etc.
- (ii) The wave-function always evolves via Schrödinger equation.
 - Denied by:
 - Textbook quantum mechanics,
 - M-property theory
 - Stapp's theory, Orch OR, etc.
- (iii) Measurements always have single definite outcomes.
 - Denied by:
 - > The many worlds interpretation.

Taking the textbook literally

What is more fundamental?

A measurement property?

- Textbook "measuring devices" possess a distinctive property responsible for collapse.
 - M-property theory

The measurement process?

- Requires fundamental intentionality?
 - Stapp's "posing a question to nature".

Stapp's theory

Stapp's (2011: p24) additions to textbook QM:

- Process 3: collapse postulate (textbook QM).
- Process 2: Schrödinger equation (textbook QM).
- Process 1: posing a question to nature.
- Process 0: "some process that is not described by quantum theory, but determines the [process 1] 'free-choice'".

Problems:

- No account or process 0 (and hence, of process 1).
- So, no account of why (or when) process 3 occurs.
- So, no solution to problem of quantum reality.

 M-property: property which refuses superposition & responds probabilistically (via Born rule) with wave-function collapse.

- M-property: property which refuses superposition & responds probabilistically (via Born rule) with wave-function collapse.
- M-property theory in practice:
 - Schrödinger evolution of particle *p*:

 $|X>_p \rightarrow \alpha|H>_p + \beta|T>_p$



- M-property: property which refuses superposition & responds probabilistically (via Born rule) with wave-function collapse.
- M-property theory in practice:
 - Schrödinger evolution of particle *p*:

 $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



 Schrödinger evolution of device (with m-property) + particle: (α|H>_p + β|T>_p)|"R"/M₀>_d

- M-property: property which refuses superposition & responds probabilistically (via Born rule) with wave-function collapse.
- M-property theory in practice:
 - Schrödinger evolution of particle *p*:

 $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



Schrödinger evolution of device (with m-property) + particle:

 $(\alpha |H_{p} + \beta |T_{p})|^{(*R''/M_{0})} \rightarrow \alpha |H_{p}|^{(*H''/M_{1})} + \beta |T_{p}|^{(*T''/M_{2})}$

- M-property: property which refuses superposition & responds probabilistically (via Born rule) with wave-function collapse.
- M-property theory in practice:
 - Schrödinger evolution of particle *p*:

 $|X>_{p} \rightarrow \alpha |H>_{p} + \beta |T>_{p}$



Schrödinger evolution of device (with m-property) + particle:

 $(\alpha |H_{p} + \beta |T_{p})|^{(R''/M_{0})} \rightarrow \alpha |H_{p}|^{(H''/M_{1})} + \beta |T_{p}|^{(T''/M_{2})}$

Indeterministic collapse:

$$\begin{split} \alpha |H_{p}|``H"/M_{1}_{d} + \beta |T_{p}|``T"/M_{2}_{d} \rightarrow \\ |H_{p}|``H"/M_{1}_{d} \text{ (with probability } |\alpha|^{2}); \text{ or } \\ |T_{p}|``T"/M_{2}_{d} \text{ (with probability } |\beta|^{2}). \end{split}$$

Constraints on candidate M-properties

- The m-property cannot be too common
 - Isolated particles seldom collapse.
- The m-property cannot be too rare
 - Measurement outcomes always collapse.
- Many candidates fit these constraints...
 - An as-yet undiscovered property?
 - Configurational properties?
 - Spacetime curvature? (Penrose, Diósi)
 - Integrated information?
 - Consciousness?

Constraints on basic law of M-properties

- M-properties cannot *absolutely* refuse superposition due to quantum Zeno effect (QZE).
 - QZE: frequent quantum measurement makes it hard for measured properties to change.
- QZE problem for absolute m-properties:
 - For any property P, if a system evolves from initial value v1, to v2, it must evolve through superpositions of v1 and v2, such that the probability of initial value v1 continuously decreases from one.
 - But then if P is an absolute m-property, P cannot evolve it will continuously collapse to initial value.
- Solution: Basic law revised: superpositions are *unstable*...

Candidates for describing "instability"

- M-property superpositions become more unstable...
 - > as the system possesses more of the m-property.
 - The more of the m-property a system possesses the higher the probability that its particles collapse to definite positions.
 Kremnizer & Ranchin [2015], Ghirardi et. al. [1987].
 - > as the superposition components reach a difference threshold.
 - If m-property = spacetime curvature, then threshold = curvature difference between components.
 - □ Penrose [2014], Diosi [1987].
 - If m-property = consciousness, then threshold = distance in qualia space between components.
- Precise experiments required to further narrow down candidate m-properties and instability laws.

Consciousness as the m-property

Consciousness causes collapse

London and Bauer (1939), Wigner (1967).

- Never developed rigorously:
 - No clear account of collapse.
 - No clear definition of consciousness.



"I think you should be more explicit here in step two."

Solution:

- Account of collapse given by m-property theory.
- Only need account of *physical correlates* of consciousness.

Physical correlates of consciousness

- Candidate theory of correlates: Tononi's integrated information theory (IIT).
 - Amount of consciousness measured by Φ = amount of integrated information.
- How this makes the theory precise:
 - Consciousness supervenes (nomologically or metaphysically) on its physical correlates.
 - Consequently, if consciousness superpositions are unstable then so are superpositions of physical correlates.
 - Given IIT, Φ -superpositions will be unstable.

Philosophy of mind implications

Two interpretations

Physicalist interpretation

- Consciousness is nothing but integrated information (II).
- Fundamentally, II causes collapse.

Interactionist interpretation

- > II is just a measure of consciousness.
- Fundamentally, consciousness causes collapse.

Two interpretations

- Physicalist interpretation
 - Consciousness is nothing but integrated information (II).
 - Fundamentally, II causes collapse.
 - Hard problem remains: why should II yield consciousness?
- Interactionist interpretation
 - Consciousness only nomologically supervenes on II.
 - Fundamentally, consciousness causes collapse.
 - Hard problem does not arise.
 - Causal closure objection undercut.
 - Interactionism made rigorous.

Thanks for your attention!

- Bassi et. al. Models of wave-function collapse, underlying theories, and experimental tests. *Rev. Mod. Phys.* 85, 471.
- Diósi, L. 1987. A universal master equation for the gravitational violation of guantum mechanics. Phys. Lett. 120A. 377-81.
- Feldman, W. and Tumulka, R. (2012). Parameter Diagrams of the GRW and CSL Theories of Wavefunction Collapse. J. Phys. A: Math. Theor. 45. 065304.
- Ghirardi, G.C., Rimini, A., and Weber, T. 1986. Unified dynamics for microscopic and macroscopic systems". Physical Review D 34: 470.
- Hameroff, S. & Penrose, R. 2014. Consciousness in the universe: A review of the 'Orch OR' theory. *Physics of* Life Reviews 11 (1): 51–53.
- Kremnizer, K. & Ranchin, A. 2015. Integrated Information-Induced Quantum Collapse. Foundations of Physics 45 (8):889-899.
- London, F., Bauer, E., 1939. La th'eorie de l'observation en m'ecaniquequantique (Hermann, Paris). English translation in Quantum Theory and Measurement, edited by J. A. Wheeler and W. H. Zurek (Princeton University, Princeton, New Jersey, 1983), pp. 217–259.
- Maudlin, T. 1995. Three Measurement Problems. *Topoi* 14: 7-15.
- Penrose, Roger (2014), "On the Gravitization of Quantum Mechanics 1: Quantum State Reduction", Foundations of Physics 44: 557–575.
- Stapp, H. 2011. *Mindful Universe: Quantum Mechanics and the Participating Observer*. 2nd Edition. Springer.
- Tononi, G. 2008. Consciousness as integrated information: a provisional manifesto. *Biol. Bull.* 215, 216–242.
- von Neumann, J. 1955. *Mathematical Foundations of Quantum Mechanics*. Princeton University Press. German original: *Die mathematischen Grundlagen der Quantenmechanik*. Berlin: Springer, 1932.
- Wigner, E.P. 1967. Remarks on the Mind-Body Question. In Symmetries and Reflections. Indiana University Press. pp. 171–184.



Formalism: the Lindblad equation

The Schrödinger equation can be recast as the Liouville equation for the system's density matrix:

$$\frac{d}{dt}\rho(t) = -\frac{i}{\hbar}[H,\rho(t)]$$

Effects of *external* systems can be added (Lindblad equation):

$$+\sum_{n,m=1}^{N^2-1} h_{n,m} (L_n \rho(t) L_M^{\dagger} - \frac{1}{2} (\rho(t)) L_M^{\dagger} L_n + L_M^{\dagger} L_n \rho(t)))$$

The Kremnizer & Ranchin [2015] eqn.

• The most general non-linear quantum integrated information collapse equation:

$$\frac{d}{dt}\rho(t) = -\frac{i}{\hbar}[H,\rho(t)] + \sum_{n,m=1}^{N^2-1} h_{n,m}(\Phi(\rho(t))) \left(L_n\rho(t)L_m^{\dagger} - \frac{1}{2}\left(\rho(t)L_m^{\dagger}L_n + L_m^{\dagger}L_n\rho(t)\right)\right)$$

- h_{n,m} = Hermitian matrix elements that are continuous functions of the integrated information of *ρ* (all zero when Φ(*ρ*(*t*)) = 0).
- {L_k} is a basis of operators on the N-dimensional system
 Hilbert space, which determine collapse basis.