

Grounding and Analyticity

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Interlevel Metaphysics

- Interlevel metaphysics:
 - how the macro relates to the micro
 - how nonfundamental levels relate to fundamental levels

Grounding Triumphalism

- The very bad very old days: interlevel metaphysics via conceptual analysis
- The bad old days: interlevel metaphysics via supervenience
- The good new days: interlevel metaphysics via grounding

Conceptual Analysis

- The conceptual analysis route to grounding: A grounds B if (iff?) there's an appropriate relation between the concepts involved in (or associated with) A and B.
- E.g.: Carnap's construction system in the *Aufbau*.
- Lewis, Jackson, Thomasson, others.

Strong Version

- Strong version: A grounds B iff there's an appropriate analytic connection between A and B (or associated concepts).

Supervenience

- 1990s orthodoxy: physicalism requires supervenience (not the reverse; e.g. Horgan's superdupervenience).
- So people argued against physicalism by arguing against supervenience.
- Some argued against supervenience via conceivability, apriority, analyticity.

Carnapian Thesis

- Carnapian Thesis: S is necessary iff S is analytic.

Problem I: Synthetic Necessities

- Synthetic (a priori) necessities: e.g. mathematical truths, normative principles.

Kantian Thesis

- Kantian thesis: S is necessary iff S is a priori.

Problem 2: A Posteriori Necessities

- Necessary a posteriori: Hesperus is Phosphorus, water is H₂O
- Contingent a priori: Julius invented the zip, meter stick is 1 meter long

2D Thesis

- S is a priori iff S has a necessary primary intension (across centered metaphysically possible worlds)
- Or: If the concepts involved in S are transparent, S is a priori iff S is necessary.

Opacity and Transparency

- 2D/Goff idea: Kripke cases always involve opaque concepts (or words).
- Opaque concepts: those with an opaque MOP. Referent is not knowable a priori.
 - E.g. *water, heat, Godel*
- Transparent concept: referent knowable a priori
 - E.g. *zero, plus, cause, conscious?*

2D Analysis

- Opaque concepts are epistemically nonrigid: nonrigid primary intension (picking out different objects in different epistemically possible scenarios).
- Transparent concepts are epistemically rigid, and super-rigid: rigid primary and secondary intensions (picking out the same objects in all scenarios and worlds).

Revised Thesis

- When S involves only transparent concepts, S is necessary iff S is a priori.
- When S involves opaque concepts: S is necessary iff it's a priori (analytic?) that (if nonmodal facts, then necessarily S).

Strong Necessities?

- Potential counterexamples: strong a posteriori necessities (involving transparent concepts)
- existence of god, laws of nature, unprovable mathematical truths, metaphysical truths?
- Argued elsewhere: none are counterexamples.

Apriority and Physicalism

- So one can argue against physicalism by
 1. arguing against a priori connections (e.g. zombies, knowledge argument)
 2. inferring the absence of necessary connections
 3. inferring the falsity of physicalism [the absence of grounding].

New Consensus

- New (and old) consensus: physicalism entails supervenience but not vice versa.
- Upshot: The old anti-physicalist arguments via apriority and supervenient are stronger than they need to be.
- Is there a more proportionate way to argue against physicalism?

Grounding

- Very rough idea: analyticity is to grounding as apriority is to necessitation.

Four Concepts



Propositions

- To simplify, I'll understand all four as propositional notions (involving Fregean propositions).
- A proposition can be a priori or analytic (cognitively insignificant).
- Facts are true propositions.
- One set of facts can ground another or

Analyticity and Grounding

- Apriority/necessitation thesis (original): p necessitates q if $(p \rightarrow q)$ is a priori.
- Analyticity/grounding thesis: p grounds q iff $(p \rightarrow q)$ is analytic [and p is true].
- Potential counterexamples?

Kripke

- Analyticity without grounding: x invented the zip -> x is Julius.
- Grounding without analyticity: y is H₂O -> y is water.
- So analyticity and grounding come apart in both directions.

Revised Thesis

- When p and q are composed of transparent concepts, p grounds q iff $(p \rightarrow q)$ is analytic.
- Eliminates Kripke-style counterexamples.
- N.B. Transparency here = hyper-rigidity, or referent knowable analytically.

Directionality

- Other counterexamples arise from the directionality of grounding
- E.g. x is a bachelor \rightarrow $\{x$ is male and x is unmarried $\}$ is plausibly analytic, but the antecedent doesn't ground the consequent.

Three Responses

- Three responses
 - Find an undirectional sibling of grounding (metaphysical analyticity)
 - Relativize grounding to frameworks (framework-dependent grounding)
 - Find a directional sibling of analyticity (conceptual grounding).

I. Metaphysical Analyticity

- Option I: Dispense with directional notion of grounding, and use undirectional notion of analyticity to explicate an undirectional analog of grounding.
- Undirectional analog of grounding: metaphysical analyticity?

Metaphysical Analyticity

- When p grounds q , $(p \rightarrow q)$ is metaphysically analytic.
- Metaphysically analytic = metaphysically trivial? adds nothing to reality? stems wholly from the natures of the entities/properties involved?
- Then when p and q are transparent, $(p \rightarrow q)$ is analytic if it is metaphysically analytic.

Is This Grounding?

- Maybe: A grounds B iff $(A \rightarrow B)$ is metaphysically analytic.
- But then, A can ground B and vice versa, and no fundamental base [Carnap?].
- Maybe this is really grounding eliminativism?
- But at least: (metaphysical) analyticity can play part of the grounding role.

Framework-Relative Grounding

- Carnap seems to hold that there's no objective fact about what's metaphysically fundamental — it's a matter of pragmatic choice.
- E.g. in the Aufbau: we could have an phenomenalist construction system, a physicalist one, a dualist one.

2. Grounding Frameworks

- Natural view: there are grounding frameworks (e.g. the physicalist and phenomenalist frameworks).
- Grounding claims are framework-relative.
- Internal grounding claims have truth-values, external grounding claims don't.

What are Grounding Frameworks?

- Grounding frameworks aren't just existence frameworks, as two grounding frameworks can agree on what objects exist.
- E.g. atomist and holist mereological universalist frameworks
 - whole grounded in parts or vice versa

Grounding Frameworks as Construction Systems

- Grounding frameworks could be construction systems (*Aufbau*)
- base languages plus construction relations

Grounding Frameworks as Furnishing Functions

- Existence frameworks can be seen as furnishing functions: functions from worlds to furnished worlds (worlds plus domains)
- Grounding frameworks can be seen as grounding furnishing functions: functions from (furnished) worlds to layered worlds (worlds plus grounding relations).

Carnapiana

- Maybe Carnap in ESO intends frameworks to cover both existence frameworks and grounding frameworks
- E.g. physicalism vs dualism is arguably best seen as a grounding issue rather than an existence issue

3. Conceptual Grounding

- Third option: invoke a directional sibling of analyticity: conceptual grounding.
- E.g. (x is a bachelor) is conceptually grounded in (x is male) and (x is unmarried).
- conceptual grounding requires analyticity and conceptual priority (and more).
- rough idea: the truth of p explains the truth of q in virtue of the concepts in both.

What is Conceptual Priority?

- On the classical model of concepts (all concepts composed from primitive concepts): C1 is conceptually prior to C2 when C1 is a constituent of C2.
- On an inferentialist model of concepts, C1 is conceptually prior to C2 when inferences to C1 are partly constitutive of C2.
- Or: explicate via direction of understanding, or via verbal disputes?

Conceptual/Metaphysical Grounding Thesis

- Revised thesis: When p and q are composed of transparent concepts, p metaphysically grounds q iff p conceptually grounds q .

Argument for CM Grounding Thesis

- (1) Simpler picture: conceptual relations do all the work we need.
- (2) Intuitively, grounding relations should follow trivially from nature of the relata, so should be epistemologically trivial (analytic) when the relata are presented transparently.
- (3) No compelling counterexamples!

Counterexamples I

- Non-analytic grounding relations
 - H₂O-water grounding (not transparent!)
 - mereological grounding? (analytic, or perhaps indeterminate)
 - natural-normative grounding? (not grounding!)

Counterexamples II

- Conceptual and metaphysical grounding in opposite directions
- E.g. $\langle x \text{ has negative charge} \rangle$ is metaphysically fundamental but conceptually non-fundamental?
- This works if charge concept is opaque (e.g. categorical property with role MOP) but not if it's transparent.

Relative or Objective Grounding

- If conceptual grounding is framework-relative, this can be combined with option 2 (framework-dependent grounding).
- If conceptual grounding is objective (my tentative view), this will yield objective grounding and objective fundamentality (though perhaps with some wiggle room due to indeterminacy?).

Ungraspable Properties

- Carnapian idea for grounding grounding: ϕ grounds ψ when transparent ϕ -concept conceptually ground transparent ψ -concepts (or propositions).
- But: what about properties/objects that can't be transparently grasped: e.g. singular entities and properties, ungraspable quiddities?

Singular and General Propositions

- E.g. on a standard view, existential facts (e.g. $\exists xFx$) are grounded in singular facts (e.g. Fa).
- But plausibly there's no transparent concept of a when a is a concrete object.
- So no transparent grounding relation?

Response I

- Possible response: Hold that grounding relations involving ungraspable entities these derive from general conceptual necessities
- e.g. Fa grounds $\exists xFx$ because it's a conceptual necessity that for all y , Fy (if true) grounds $\exists yFy$.

Response 2

- Hold that existential truths are more fundamental than singular truths and plurally ground singular truths.
- E.g. conceptually grounding the existence of 10 objects and thereby conceptually grounding each object.

Two Versions of the Thesis

- Carnapian version: conceptual grounding grounds metaphysical grounding.
- Non-Carnapian version: metaphysical grounding grounds conceptual grounding.

Carnapian Version

- Carnapian thesis: metaphysical relations are (metaphysically and conceptually) grounded in conceptual relations.
- So: metaphysical analyticity grounded in conceptual analyticity.
- Metaphysical grounding grounded in conceptual grounding
- Concepts before metaphysics!

Non-Carnapian Version

- E.g. Russell-style version: transparent concepts involve acquaintance with properties.
- When ϕ grounds ψ , acquaintance with ϕ conceptually grounds acquaintance with ψ (because ϕ metaphysically grounds ψ).
- So: metaphysical grounding grounds conceptual grounding (metaphysically, and therefore conceptually)?

My View

- I'm not sure whether conceptual grounding grounds metaphysical grounding, or vice versa.
- So I'm not sure how Carnapian to be.

Philosophical Consequences

- We can use failures of analytic entailment (not just failures of a priori entailment) to diagnose failures of grounding.
- If normative truths are not analytically entailed by natural truths (and both are transparent, naturalism is false).
- E.g. mental truths are not analytically entailed by physical truths (and both are transparent), physicalism is false.

Open Question Argument

- Open question argument: given natural facts, normative facts are open question, so any normative facts are non-natural.
- Standard reaction: open question falsifies analytic entailment but not grounding.
- But: If grounding thesis is right (and normative concepts are transparent): the open question argument is good!

Consciousness Arguments

- This thesis can also support arguments against physicalism about consciousness.
- Argue against analytic connections between physical and phenomenal concepts, and argue for transparency.
- Weaker premises than knowledge/conceivability arguments: open question, absence of analysis.

Dialectic

- Ways to reject the argument
 - physical concepts are opaque (Russellian monism)
 - phenomenal concepts are opaque (type-B materialism)
 - analyticity/grounding thesis is false

Mathematics

- Mathematics isn't analytic or analytically entailed by physical truths, so physicalism about mathematics is false?
- Plausibly: mathematical truths aren't grounded in physical truths.
- So physicalism is simply false?

Weight and Weightlessness

- Prima facie any failures of physicalism for mathematics, normativity, etc are “lightweight” failures — the extra ontology is weightless (Parfit).
- Maybe physicalism should say: all weighty truths are grounded in physical truths?
- Homework question: what’s weightiness?

Conclusion

- Analyticity may provide a more fine-grained epistemic/semantic tool to serve as a guide to the more fine-grained metaphysical issues pertaining to grounding.